StoneStreet Equity, LLC

PLAN TERMINATION SUPPORT

Whatever the reason—a merger, a business closure or a change in direction—the termination of a qualified retirement plan can be complicated and stressful. With the strict PBGC, DOL and IRS rules about documentation, notification and disposition of assets, it's easy to get bogged down in the details.

Worksite Financial Solutions Plan Termination Support helps you manage the many duties of terminating a qualified retirement plan. The DOL's requirements for locating, notifying and paying out plan participants are cumbersome. This program helps you address compliance requirements, potentially reducing the burden of plan termination.

A few of the duties you'll face when terminating a plan are:

- Reaching "lost" plan participants
- Completing and filing the termination paperwork
- Documenting and recording the processes used
- Meeting the requirements for separating assets of missing participants

Our unique process addresses the complexities involved in a plan termination. You benefit from:

- A streamlined outreach and notification process, designed to reach 100% of the plan's participants using communications intended to comply with DOL requirements
- Fully documented "lost" participant search process, using IRS letter forwarding
- Monitored participant response and transaction reporting
- Safe Harbor-consistent IRA default investment vehicle for non-responsive participants

Plan Termination Support provides help for participants and for you, delivering:

- Processes that follow DOL guidelines to help keep plan sponsors compliant
- Professional financial advisory services to help employees continue saving for retirement
- Multiple investment options and comprehensive transaction support through the program's open architecture investment vehicles

All participants will have an opportunity to speak with a registered financial advisor, regardless of account balance, helping them to maintain their retirement goals. They will value the assistance you're providing during this challenging process.

For more information or to get started, contact your StoneStreet advisor today.

SOLUTIONS
EXPERTISE
RESOURCES
FOR YOUR RETIREMENT PLANS

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